



February 4, 2016

Ms. Shelly Schueller
Department of Employee Trust Funds
801 W. Badger Road
P.O. Box 7931
Madison, WI 53707-7931

RE: Notice of Pending Litigation

Dear Shelly:

We are providing notice to you and the WDC Board regarding pending litigation against Great-West and some of its affiliates.

Specifically, an individual has filed a lawsuit against Great-West Life & Annuity Insurance Company and some of its affiliates. While Great-West does not specifically comment on pending litigation, we did want you to know the following:

Anyone can file a lawsuit. In this situation, a single individual who was a participant in one of our plans filed the lawsuit, purportedly on behalf of a class, claiming some of our products violated ERISA.

In recent months the retirement industry has seen a significant increase in lawsuits filed against retirement plan service providers. Great-West is one of several companies that have been targeted.

This suit and the claims it makes are without merit, and we will defend the matter vigorously. We believe our products comply with the law. We also believe our innovative offerings help our clients reach their retirement goals.

Please let myself or Darryl, or Emily know if you have any further questions.

Regards,

A handwritten signature in dark ink, appearing to read "Rob Dwyer".

Rob Dwyer
Vice President

CC: Darryl Collier, Assistant Vice President
Emily Lockwood, State Director
Brent Neese, Senior Vice President

8515 E. Orchard Road
Greenwood Village, CO 80111
800.695.4952
empower-retirement.com

Board	Mtg Date	Item #
DC	3.8.16	9A