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## Governance Manual For the Deferred Compensation (DC) Board

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[Administrative Services Contract](#)

[Investment Committee Charter](#) (Committee Dissolved 3/11/2021)

### **Board Policies and Procedures**

[Board Member Compensation and Reimbursement Policy](#) (Adopted 3/7/2019)

[Board Member Qualification Policy](#) (Adopted 3/7/2019)

[Board Public Notice Protocol](#) (Revised 3/7/2019)

[Board Resignation Procedure](#) (Adopted 6/14/2018)

[Communications Policy](#) (Adopted 6/14/2018)

[Conduct of Board Meetings](#) (Revised 6/11/2020)

[Conflict of Interest Policy](#) (Adopted 6/14/2018)

[Privacy of Personal Information Policy](#) (Revised 3/7/2019)

[Vendor Procurement Appeals Policy](#) (Revised 3/29/2021)

## ***Deferred Compensation Board Documents***

[Administrative Expense Account Investment and Target Balance Policy](#) (Revised 6/16/2015)  
[Default Fund Policy](#) (Adopted 5/6/2008)  
[Excessive Trading Policy](#) (Adopted 5/16/2006)  
[Financial Emergency Hardship Withdrawal Policy](#) (Revised 11/1/2016)  
[Investment Option Selection and Reimbursement](#) (Adopted 6/16/2015)  
[Investment Policy Statement](#) (Revised 12/01/2022)  
[Self-Directed Brokerage Option: Grandfathering of “Legacy Funds”](#) (Adopted 09/01/2022)  
[Stable Value Fund Sub-Advisor Change Policy](#) (Adopted 5/6/2008)

## ***National Association of Government Defined Contribution Administrators, Inc. (NAGDCA)***

[NAGDCA 2013 Fact Sheet](#)  
[2012: Government 457\(B\) Primer](#)  
[2012: Rising to the Challenge of the New Fee Disclosure Requirements: A Practical Guide](#)  
[2011: Evolution of Plan Investment Structures and Advisory Service](#)  
[2011: Plan Sponsors: Meeting Your Fiduciary Responsibilities](#)

## ***Ethics and Fiduciary Duty***

[“Who is a Fiduciary?”](#)  
[Attorney General Opinion \(OAG-2-06\) on Board Member Liability](#)  
[Great-West Financial – State of Wisconsin Fiduciary Responsibility](#)  
[Wisconsin Public Records Law Training](#)

## ***Legislation***

[Internal Revenue Service, 26 CFR Parts 1 and 602](#)  
[Chapter 40](#)  
[ETF 70](#)  
[History of Employee Trust Funds](#)  
[Legislative Oversight Committee: Joint Survey Committee on Retirement Systems](#)

## ***Audits***

[Financial Statements Audit](#)  
[Contract Compliance Audit](#)

- [Disclosure Letter](#)

[WDC Transition Audit](#)