



Governance Manual For the Deferred Compensation (DC) Board

Overview

1. [Meeting Dates](#)
2. [Employee Trust Funds Key Contacts](#)
3. [History, Statistics and Features of the WDC Program](#)
4. [WDC Participation by County](#)
5. [WDC Acronym Guide](#)
6. [Responsibilities of Governing Boards](#)
7. [Board Member Time Commitment Overview](#)
8. [Deferred Compensation Board Base Agendas](#)
9. [Open Meetings Update \(10/29/10 memo\)](#)
10. [Deferred Compensation Board Membership Roster](#)
11. [The Secretary's Role](#)
12. [ETF Functional Organizational Chart](#)
13. [Wisconsin Deferred Compensation Program Fact Sheet](#)
14. [Administrative Appeals Process](#)

[Plan and Trust Document](#)

[Administrative Services Contract](#)

[Investment Committee Charter](#) (Committee Dissolved 3/11/2021)

Board Policies and Procedures

[Board Member Compensation and Reimbursement Policy](#) (Adopted 3/7/2019)

[Board Member Qualification Policy](#) (Adopted 3/7/2019)

[Board Public Notice Protocol](#) (Revised 3/7/2019)

[Board Resignation Procedure](#) (Adopted 6/14/2018)

[Communications Policy](#) (Adopted 6/14/2018)

[Conduct of Board Meetings](#) (Revised 6/11/2020)

[Conflict of Interest Policy](#) (Adopted 6/14/2018)

[Privacy of Personal Information Policy](#) (Revised 3/7/2019)

[Vendor Procurement Appeals Policy](#) (Revised 3/29/2021)

Deferred Compensation Board Documents

[Administrative Expense Account Investment and Target Balance Policy](#) (Revised 6/16/2015)
[Default Fund Policy](#) (Adopted 5/6/2008)
[Excessive Trading Policy](#) (Adopted 5/16/2006)
[Financial Emergency Hardship Withdrawal Policy](#) (Revised 11/1/2016)
[Investment Option Selection and Reimbursement](#) (Adopted 6/16/2015)
[Stable Value Fund Sub-Advisor Change Policy](#) (Adopted 5/6/2008)
[Investment Policy Statement](#) (Revised 09/01/2022)
[Self-Directed Brokerage Option: Grandfathering of “Legacy Funds”](#) (Adopted 09/01/2022)

National Association of Government Defined Contribution Administrators, Inc. (NAGDCA)

[NAGDCA 2013 Fact Sheet](#)
[2012: Government 457\(B\) Primer](#)
[2012: Rising to the Challenge of the New Fee Disclosure Requirements: A Practical Guide](#)
[2011: Evolution of Plan Investment Structures and Advisory Service](#)
[2011: Plan Sponsors: Meeting Your Fiduciary Responsibilities](#)

Ethics and Fiduciary Duty

[“Who is a Fiduciary?”](#)
[Attorney General Opinion \(OAG-2-06\) on Board Member Liability](#)
[Great-West Financial – State of Wisconsin Fiduciary Responsibility](#)
[Wisconsin Public Records Law Training](#)

Legislation

[Internal Revenue Service, 26 CFR Parts 1 and 602](#)
[Chapter 40](#)
[ETF 70](#)
[History of Employee Trust Funds](#)
[Legislative Oversight Committee: Joint Survey Committee on Retirement Systems](#)

Audits

[Financial Statements Audit](#)
[Contract Compliance Audit](#)

- [Disclosure Letter](#)

[WDC Transition Audit](#)