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## **Governance Manual**

### **for the Deferred Compensation (DC) Board**

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#### ***Overview***

1. [Meeting Dates](#)
2. [ETF and WDC Key Contacts](#)
3. [History, Statistics and Features of the WDC Program](#)  
[WDC Participation by County](#)
4. [WDC Acronym Guide](#)
5. [Organization and Responsibilities of Boards](#)
6. [Board Member Commitment Overview](#)
7. [Deferred Compensation Base Agendas](#)
8. [Open Meetings Update \(10/29/10 memo\)](#)
9. Board Rosters
  - [Deferred Compensation Board](#)
  - [Employee Trust Funds Board](#)
  - [Wisconsin Retirement Board](#)
  - [Teachers Retirement Board](#)
  - [Group Insurance Board](#)
10. [The Secretary's Role](#)
11. [ETF Functional Organizational Chart](#)
12. Fact Sheets
  - [Deferred Compensation Fact Sheet](#)
  - [Wisconsin Retirement System Fact Sheet](#)
  - [Employee Reimbursement Accounts Fact Sheet](#)
  - [Accumulated Sick Leave Credit Conversion Program \(ASLCC\) & Supplemental Health Insurance Fact Sheet](#)
  - [Group Health Insurance Fact Sheet](#)
  - [Group Life Insurance Fact Sheet](#)
  - [Income Continuation Insurance-State Fact Sheet](#)
  - [Income Continuation Insurance-Local Fact Sheet](#)
  - [Ombudsperson Services Fact Sheet](#)
  - [Pharmacy Benefits Program Fact Sheet](#)

- [Supplemental Insurance Program](#)
  - [Uniform Dental Benefit](#)
13. [Administrative Appeals Process](#)

[Plan and Trust Document](#)

[Administrative Services Contract](#)

[Investment Committee Charter](#)

### ***Board Policies and Procedures***

[Board Member Compensation and Reimbursement Policy](#) (Adopted 3/7/2019)

[Board Member Qualification Policy](#) (Adopted 3/7/2019)

[Board Public Notice Protocol](#) (Revised 3/7/2019)

[Board Resignation Procedure](#) (Adopted 6/14/2018)

[Communications Policy](#) (Adopted 6/14/2018)

[Conduct of Board Meetings](#) (Revised 6/11/2020)

[Conflict of Interest Policy](#) (Adopted 6/14/2018)

[Delegation of Governing Board Authority Table](#) (Revised 6/20/2019)

[Privacy of Personal Information Policy](#) (Revised 3/7/2019)

[Vendor Procurement Appeals Procedure](#) (Revised 3/7/2019)

### ***Wisconsin Deferred Compensation Board Documents***

[Administrative Expense Account Investment and Target Balance Policy](#) (Revised 6/16/2015)

[Default Fund Policy](#) (Adopted 5/6/2008)

[Excessive Trading Policy](#) (Adopted 5/16/2006)

[Financial Emergency Hardship Withdrawal Policy](#) (Revised 11/1/2016)

[Investment Option Selection and Reimbursement](#) (Adopted 6/16/2015)

[Stable Value Fund Sub-Advisor Change Policy](#) (Adopted 5/6/2008)

[Investment Policy Statement](#) (Revised 10/31/2019)

### ***National Association of Government Defined Contribution Administrators, Inc. (NAGDCA)***

[NAGDCA 2013 Fact Sheet](#)

[2012: Government 457\(B\) Primer](#)

[2012: Rising to the Challenge of the New Fee Disclosure Requirements: A Practical Guide](#)

[2011: Evolution of Plan Investment Structures and Advisory Service](#)

[2011: Plan Sponsors: Meeting Your Fiduciary Responsibilities](#)

## ***Ethics and Fiduciary Duty***

["Who is a Fiduciary?"](#)

[Attorney General Opinion \(OAG-2-06\) on Board Member Liability](#)

[Great-West Financial – State of Wisconsin Fiduciary Responsibility](#)

[DOA Guidance on Lobbying and Ethics Law](#)

[Wisconsin Public Records Law Training](#)

## ***Legislation***

[Internal Revenue Service, 26 CFR Parts 1 and 602](#)

[Chapter 40](#)

[ETF 70](#)

[History of Employee Trust Funds](#)

[Legislative Oversight Committee: Joint Survey Committee on Retirement Systems](#)

## ***Audits***

[Financial Statements Audit](#)

[Contract Compliance Audit](#)

[WDC Transition Audit](#)