

Information Systems Security Audit Update

GIB – Item 4B

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Informational Item

- No Board action is required

Background

- ETF requested information systems security audits from participating health plans for calendar year 2018
- First such request for participating health plans
- Audit provides annual assurance that contracted health plans have adequate information security policies and protocol in place

Outcomes

- A small number of plans currently conduct a SOC 2, Type 2 audit, or have satisfactory alternate attestation documentation
- ETF added health plan-specific language to the 2020 Health Program Agreement clarifying the information systems security audit requirement
 - Complementary to DTC language
 - Intended to improve health plan compliance and ease of administration

Review Summary

3 Plans

- High level of compliance
- Lower risk

4 Plans

- Moderate level of compliance
- Moderate risk

3 Plans

- Low level of compliance
- Elevated risk

Next Steps

- ETF will continue to work with health plans to improve overall audit submission compliance
- Information systems security audit reporting outcomes will be reported to the Board on an annual basis

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Questions?