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 SECRETARY

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## **Correspondence Memorandum**

**Date:** July 26, 2022

**To:** Group Insurance Board

**From:** Korbey White, Health Program Manager  
 Office of Strategic Health Policy

**Subject:** 2023 Health Plan Compliance Status

**This memo is for informational purposes only. No Board action is required.**

### **Background**

At its August 18, 2021, Group Insurance Board (Board) meeting, the Board asked the Department of Employee Trust Funds (ETF) to provide an annual update on health plans' SOC 2 Type 2 compliance status. On April 19, 2022, ETF conducted its Information Systems Security Review with all health plans that currently participate in the Group Health Insurance Program (GHIP).

### **Compliance Status Request**

Health plans were required to complete the Health Plan Compliance Status Questionnaire - Information Systems Security Audit to inform ETF of current compliance status and plans to become compliant prior to March 2023. Health plans were asked to give a status update regarding their ability to provide ETF with a SOC 2 Type 2 report, an associated bridge letter, or an alternate comparable attestation such as a Health Information Trust Alliance (HITRUST) by March 1, 2023. The questionnaire was due to ETF by May 13, 2022.

If a health plan is not able to provide a SOC 2 Type 2, the other two options (bridge letter or comparable attestation) should provide basic information that security controls are maintained.

### **Progress Toward March 2023 Goal**

All 11 health plans indicated they will be in compliance on or before the March 1, 2023, deadline. Ten health plans indicated that they will be able to provide a SOC 2 Type 2 report, and one health plan provided ETF with a HITRUST letter of certification and interim letter. A more thorough review will be presented to the Board at the November meeting.

Reviewed and approved by Eileen K Mallow, Director, Office of Strategic Health Policy Electronically Signed 08/03/2022 
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Staff will be at the Board meeting to answer any questions.