



**STATE OF WISCONSIN**  
**Department of Employee Trust Funds**  
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SECRETARY

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## ***Correspondence Memorandum***

**Date:** October 20, 2023  
**To:** Group Insurance Board  
**From:** Yikchau Sze, Director  
Office of Internal Audit (OIA)  
**Subject:** Quarterly Audit Report of all OIA Activities

**This memo is for informational purposes only. No Board action is required.**

The following is a summary of audit engagements completed, in-progress, and the status of other OIA activities from July 22, 2023, through October 20, 2023.

### **Engagements Completed**

- Required Minimum Distribution Audit
- Wisconsin Retirement System Contribution Payments Audit
- Public Records Request Audit
- Annual review of Third-Party Administrator (TPA) System and Organization Controls (SOC) 1 Reports

### **Engagements In-Progress**

- Chapter 40 Procurement Audit
- Health Plan Performance Standards Reporting Assessment
- Health Plan Performance Standards Design Review
- SOC 2 Reporting Audit
- Annuity Calculation Periodic Review

### **Other OIA Activities**

- OIA data analytics project development
- TPA contract compliance audit oversight
  - Delta Dental for the Uniform Dental Benefit
  - Navitus for the Pharmacy Benefit
- ETF fraud hotline monitoring
- Open audit issues status tracking (see Attachment A<sup>1</sup>)

<sup>1</sup> The update to the Open Audit Report was done quarterly and prior to the Audit Committee meeting. Attachment A contains the same information presented to the Audit Committee on September 21, 2023.

Board	Mtg Date	Item #
GIB	11.15.23	15I

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Staff will be at the Board meeting to answer any questions.

Attachment A: [Open Audit Issues Report Summary Memo](#)