**RFP ETJ0050 FDIC – Insured Investment Option**

The following requirements are Mandatory for all Proposers. Failure to comply with one or more of the Mandatory qualifications may disqualify the Proposer.

**Instructions:**

1. Check “Agree” or “Disagree” to each Mandatory requirement as appropriate.
2. Complete the “ACKNOWLEDGE AND ACCEPT” section:

* Print company name.
* Print the name of the representative signing this form (must be authorized to legally bind the company).
* Sign and date.

1. Return this form per Section 2.4 of the RFP (TAB 1).

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| --- | --- | --- | --- |
| **Agree** | **Disagree** | **Sec.** | **Qualification** |
|  |  | **4.1** | The Proposer must meet the minimum requirements as described in RFP Sections 5 and 6. |
|  |  | **4.2** | The Proposer has no conflict of interest with regard to any other work performed by the firm for the State of Wisconsin. |
|  |  | **4.3** | The Proposer has not been suspended or debarred from performing Federal or State government work. |
|  |  | **4.4** | During the past five (5) years, the Proposer has not been involved with or otherwise subject to any litigation alleging the Proposer’s breach of contract, fraud, breach of fiduciary duty or other willful or negligent misconduct. During the past five (5) years, the Proposer has not been in bankruptcy or receivership. If a responding “DISAGREE”, provide details of all instances of any pertinent judgment, criminal conviction, investigation or litigation as well as outcomes. |
|  |  | **4.5** | Proposer is an experienced, capable, and qualified discretionary investment manager with a minimum of five (5) years of experience in providing FDIC-insured investments to retirement plans. Per the Board’s Investment Policy Statement, the Proposer must be FDIC-insured, maintain an appropriate capital ratio as required by the U.S. Government to maintain FDIC coverage, and have a minimum of $1 billion in assets. |
|  |  | **4.6** | The Proposer affirms each individual account is insured through the FDIC. |
|  |  | **4.7** | The Proposer agrees to adhere to all ETF Department standards, policies and procedures. Any exceptions to this statement must be cleared, in writing, by ETF. |
|  |  | **4.8** | Proposer agrees that none of the Services to be provided by the Contractor shall be subcontracted or delegated to any other organization, subdivision, association, individual, corporation, partnership or group of individuals, or other such entity without the prior written consent of the Department. The determination of whether such consent will be provided shall be within the sole discretion of the Department. No subcontract or delegation shall relieve or discharge the contractor from any obligation to meet deliverables, stated time frames or from liability under the Contract. |
| **ACKNOWLEDGE AND ACCEPT:** | | | |
| This form has been reviewed by me and shall become part of the final Contract. I am a duly authorized representative of my company and have the authority to legally bind my company. I hereby acknowledge and accept responsibility for the accuracy of the responses given above. I further accept that my company’s Proposal *may* be rejected on the grounds that any item listed above is marked as “Disagree.” Also, I acknowledge I have specified and provided a reason for any answer marked as “Disagree” in TAB 3 Assumptions and Exceptions of my company’s Proposal. | | | |

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| Proposer Company Name: | Click or tap here to enter text. |
| Name & Title of Authorized Representative: | Click or tap here to enter text. |
| Authorized Representative Signature: |  |
| Signature Date: | Click or tap here to enter text. |